



**North Yorkshire**  
Safeguarding Adults Board

# North Yorkshire Safeguarding Adults Board

**Annual Report  
2020/2021**



*Making safeguarding everybody's  
business in North Yorkshire*





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# Message from the Chair

I am very pleased to welcome you to the Annual Report for the North Yorkshire Safeguarding Adults Board (NYSAB) for 2020/21

As ever, I want to take the opportunity afforded by the annual report to extend my personal thanks to all our partners who have supported the work of the Board throughout this extraordinary year.

Thanks are also due to those colleagues who attend and support the work of the Local Safeguarding Partnerships (LSPs) and the subgroups. Without their commitment there would be little chance of addressing the wide ranging and complex safeguarding issues that involve adults at risk.

There is more information on their work in the body of the report.

It will come as no surprise to those reading this report that the work of the Board and our partners has been largely centred around the response to the Covid-19 pandemic.

As we published our annual report last year the pandemic had only just started to impact on our lives and little did we know what was to come.

Over the past 12 months the country has endured an extraordinary time, and one that has left many people feeling more vulnerable and isolated than ever. As a safeguarding adults board it is our responsibility to ensure that those who are most at risk across North Yorkshire are protected from harm, abuse and neglect and that they are supported through these trying times.

I want to give assurance that the NYSAB, both as a whole, and, through individual agencies, has continued to work hard to keep people safe whether this be in health services, social care, emergency services, care settings, local communities or within peoples' own homes. We've also worked together to minimise service disruption where we can.

As a safeguarding adults board we have statutory duties that we must carry out and whilst Covid has inevitably taken priority, safeguarding does not stop and work has continued throughout the year

The level of work and commitment from partners, from frontline workers to volunteers, unpaid family carers, and those within our communities has been breathtaking and I wish to express my sincere thanks and gratitude for the extraordinary work that has been carried out.

In June 2020, we marked Safeguarding Week by holding an online awareness campaign to where we focused on promoting the message Tell Us Your Concerns. This was to reassure people that despite the restrictions and changes to services, safeguarding concerns should still be reported and would be dealt with as normal.

Events such as these serve as a reminder of how important it is to engage with the public and communities throughout North Yorkshire, albeit virtually.

We have continued to build on the connections we have with the North Yorkshire Safeguarding Children's Partnership and Community Safety Partnership.

An example of this is the implementation of our Joint Engagement and Communications strategy and calendar of activity; which you can read about in the report.

We have undertaken a Safeguarding Adults Review (SAR) in the 2020 / 21 period and work is currently underway to implement the recommendations from this review. There are more details within the body of this report.

We have also commissioned a SAR that will be undertaken with the North Yorkshire Safeguarding Children Partnership (NYSCP). The findings of this review will be published in our 2021/22 Annual Report.

We have much to focus on over the coming year. The lessons we have learnt from the pandemic will inevitably inform a lot of our work as well as allowing the Board the opportunity to review our work and areas of development.

Our strategic priorities for 2021-23 reflect this. They build on the work that has already been carried out by the Board and how we wish to progress over the next years. The priorities focus on our commitment to raise awareness and create a community approach to safeguarding, on seeking assurance from partners for the implementation of policy and procedures, working closely with other partnerships and responding to changes and reviews both locally and nationally.

The events of the last year have been unprecedented and incredibly difficult for many, and although the Government has shared its 'roadmap' out of lockdown and restrictions

begin to ease, there will be many changes to the way we live and work and there will be challenges which we will have to face. The virus is still with us and will be for a long time.

Many colleagues are exhausted and uncertain of what is to come, yet the examples given by our partners at the Board meetings throughout the 2020/21 period demonstrate how staff and communities from across North Yorkshire have come together to safeguard adults across North Yorkshire.

In conclusion, I would again like to give thanks to every colleague in our partner agencies. You have made, and continue to make a massive difference to peoples' lives.

Thank you.



**Dr Sue Proctor**  
**Independent Chair**  
**NYSAB**



# Introduction to the Annual Report

## Welcome to the Annual Report for the North Yorkshire Safeguarding Adults Board.

This report sets out the strategic vision, outcomes and a summary of our priorities.

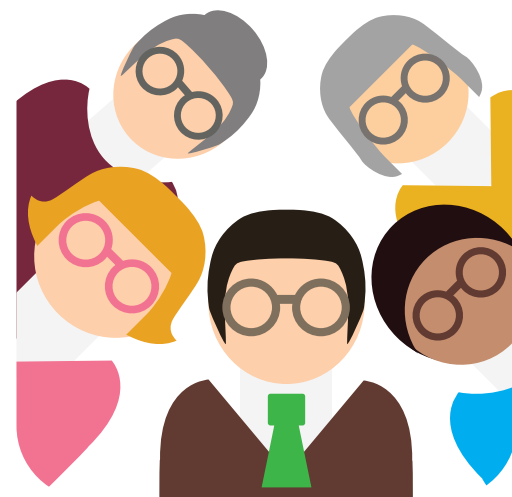
It gives information on internal governance structures and committees as well as holding partners to account.

Despite the response to the Covid-19 pandemic taking priority, the Board has continued to carry out its work and statutory duties and updates are provided on this work, including the introduction of the Persons in Position of Trust (PiPoT) Policy, the publication of SAR 'Anne', our work on joint engagement and communications, and the work of our sub-groups and Local Safeguarding Partnerships (LSPs).

Statements from our statutory partners outline what has been achieved and, given the current circumstances, how they have continued to keep people safe throughout the pandemic.

Also included is our work on learning and reviewing safeguarding practice and standards including information on Safeguarding Adults Reviews (SARs) and the data we have collected throughout 2020/21.

Our 2021/23 strategic priorities are listed to set out what we aim to achieve in the coming years.



## Our Strategic Vision

**“We will provide leadership, challenge and direction to ensure that the partner agencies improve outcomes for adults at risk of harm or abuse. We will promote values of openness, trust, respect and learning.”**

## Our Strategic Outcomes

### Awareness and Empowerment

People feel safe and in control. They are more able to share concerns and manage risk of harm either to themselves or others.



### Prevention

We work on the basis that it is better to take action before harm happens.

### Protection and Proportionality

We provide support and help for those adults who are vulnerable and most at risk of harm. We respond to identified risks and intervene as necessary to protect from harm or manage risks.



### Partnership and Accountability

We work for local solutions in response to local needs and expectations. We focus on improving outcomes for people and communities. We hold each other to account for delivery.





# Our Strategic Priorities 2020/21

## Work more closely with communities in North Yorkshire to develop strategies that reduce the risk of abuse, and help improve services:

- Use public campaigns to improve local communities' understanding of adult abuse and how to get help
- Encourage local communities to be the "eyes and ears" of safeguarding – "Safeguarding is Everybody's Business"
- Alert people to scams, fraud and other forms of financial abuse
- Explore new ways the SAB can find out the views of adults about their experience and awareness of safeguarding
- Support Healthwatch to seek the public's views of Health and Social Care Services

## Implement and embed the new Multi-Agency Safeguarding Policies and Procedures, in line with national guidance and best practice around Making Safeguarding Personal:

- Deliver confident and competent practice that is responsive, and in which the principles of 'Making Safeguarding Personal' are embedded
- Ensure the adult, their families and carers work together with agencies to find the right solutions to keep people safe, and support them in making informed choices.

## Develop a whole community approach to the prevention of abuse:

- Ensure statutory agencies work together in an effective manner, including initial response to safeguarding concerns
- Work more closely with partners in children's and community safety services at a strategic and local level
- Develop relevant partnerships around issues in other areas which impact on Adult Safeguarding including, but not restricted to, modern slavery, domestic abuse and sexual exploitation

## Ensure the accountability of all partners working with adults at risk of abuse:

- Seek better evidence that the SAB's activity and plans are making a positive difference for people – do they feel safer?
- Develop multi-agency performance data and Key Performance Indicators, and benchmark ourselves against others
- Ensure the voice of people who use services and their representatives help to shape professional practice
- Ensure that immediate and longer-term learning from SARs, serious incidents and from good practice identified within North Yorkshire regionally and nationally, is understood and implemented across all agencies

## Develop North Yorkshire's response to National Priorities:

- Prepare for the implementation of the Liberty Protection Safeguards and the outcome of the Mental Health Act Review (now deferred by national government to 2021/22)
- Review and monitor any action and improvement plans that result from any identified safeguarding issues from Learning Disability Mortality Reviews.

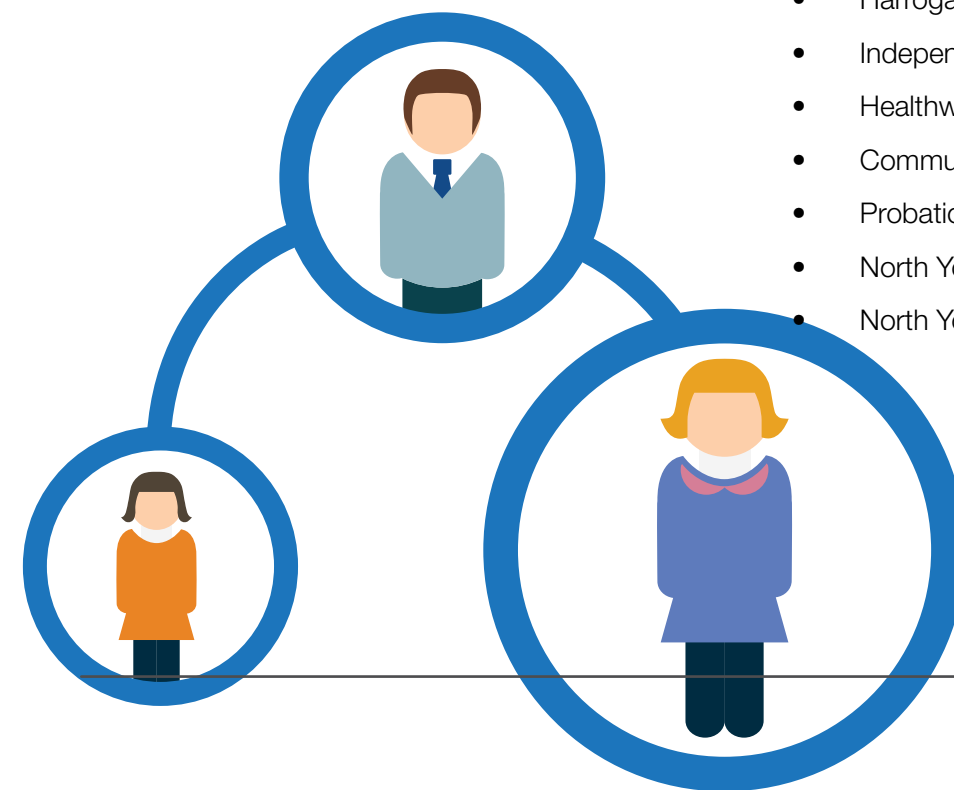
## Understand and assess the impact of changes in the strategic context within which the Board operates:

Anticipate and respond to any changes that could impact (positively or negatively) on Safeguarding in North Yorkshire, for example:

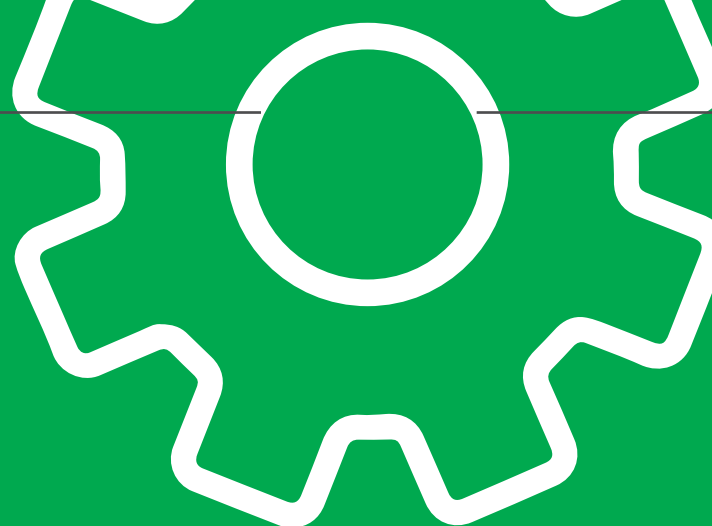
- Exiting the EU
- NHS 10-year plan
- Budgetary Pressures
- Changes to the Safeguarding Children's Partnership working arrangements
- The impact of Covid 19 and progress towards recovery

## Our Partners:

- North Yorkshire County Council
- North Yorkshire Police
- North Yorkshire NHS Clinical Commissioning Group
- Bradford District and Craven NHS Clinical Commissioning Group
- Harrogate and District NHS Foundation Trust
- Tees Esk and Wear Valleys NHS Foundation Trust
- South Tees Hospitals NHS Foundation Trust
- Airedale NHS Foundation Trust
- Humber NHS Foundation Trust
- York and Scarborough Teaching Hospitals NHS Foundation Trust
- Richmondshire District Council
- Hambleton District Council
- Selby District Council
- Ryedale District Council
- Craven District Council
- Scarborough Borough Council
- Harrogate Borough Council
- Independent Care Group
- Healthwatch North Yorkshire
- Community First Yorkshire
- Probation Service
- North Yorkshire Fire and Rescue Service
- North Yorkshire Trading Standards



# The work of the North Yorkshire Safeguarding Adults Board and its Sub Groups 2020/21



North Yorkshire Safeguarding Adults Board (NYSAB) works to protect adults who may be at risk from abuse by promoting co-operation and effective working practices between different agencies. NYSAB brings together a combination of NHS, police, local government, independent and voluntary sector and community partners seeking to ensure that adults who may be at risk of abuse are safe and well.

The Board has a number of sub groups to assist in its role, each with their own responsibility. This is a summary of the work the Board and subgroups have carried out and are looking to carry out in the future.



## Policies, Practice, Development and Legislation Subgroup (PPDL)

The group has supported the following:

### A Post Implementation Review of the Joint Multi-Agency Safeguarding Adults Policy and Procedures is planned for Health and Adult Services (HAS).

Veritau have been involved in an internal review as independent auditors to provide objective assurance. In addition to this a questionnaire has also been developed for HAS staff. A further review and update of our electronic recording system is underway. There will also be a review of the HAS Operational Guidance. There are over 2000 members of staff in HAS who follow this Operational Guidance.

**Safeguarding Review:** A Safeguarding Review has been undertaken and staff report that they feel that the procedures are more person centred and proportionate for the person by ensuring that they are supported with decision making and supported to achieve their outcomes, in keeping with Making Safeguarding Personal (MSP).

**Training update:** Training has been promoted across all partner organisations throughout the year and has successfully been delivered on-line.

**Training Standards:** A Training Standards document has been produced for organisations to follow and advises what they need to include if they wish to develop their own safeguarding training courses for adults, young people and children. Available here: <https://safeguardingadults.co.uk/learning-research/>

**Section 11 and Governance Audit:** A section 11 and Governance Audit has been developed with the North Yorkshire Safeguarding Children's Partnership and City of York Safeguarding Adults Board and Safeguarding Children's Partnership. This will reassure the NYSAB that partner organisations have everything in place to deliver safeguarding effectively.

**One Minute Guides:** One Minute Guides (OMGs) have been developed with North Yorkshire Children Safeguarding Partnership (NYSCP) and North Yorkshire Community Safety Partnership (NYCSP). Partner organisations report that they find these particularly helpful.

Our OMGs are designed to provide bite sized information on key issues and areas of focus. They cover a number of areas from County Lines to Modern Slavery. All OMGs can be found on the NYSAB website: <https://safeguardingadults.co.uk/working-with-adults/one-minute-guides-omg/>

### Risk Notification Return Guidance Tool for providers:

There has been a review of the Safeguarding Adults Decision Support Guidance, which is now called the Risk Notification Return Guidance Tool for providers. Colleagues from the North Yorkshire Clinical Commissioning Group were involved in a review of this document. This document assists providers in identifying situations that may occur whilst carrying out a service/ activity which relates to a quality assurance issue. In addition, one that requires notification to the Quality and Market Improvement Team via completion of the Risk Notification Return. A number of webinars were held on Teams with Providers to raise awareness about this.

More information is available here: <https://www.northyorks.gov.uk/info/risk-notification-return-guidance-tool> and <https://www.northyorks.gov.uk/tools-procedures-and-guidelines-adult-social-care-services-providers>

**Persons in a Position of Trust (PiPoT):** There has been a review of the guidance which has been written for responding to concerns about Persons in a Position of Trust (PiPoT). This is where a concern relates to someone's personal life, but may impact upon their job role, if they work with adults with care and support needs. A task and finish group included work with multi-agency partners including health and police working with the local authority. For more information visit: <https://safeguardingadults.co.uk/working-with-adults/nysab-procedures/PiPoT>

# Learning and Review Subgroup (LAR)



The main area of focus for the learning and review group this year has been to continue updating the Safeguarding Adults Review (SAR) Policy and process to make sure that we undertake our legal duties in an efficient and effective manner.

We have also reviewed our SAR policy in light of feedback received from family members and relatives who have been involved in the process of the SARs that we have carried out; particularly the sections relating to family engagement and involvement.

This feedback will help to strengthen how we engage with families and relatives during the SAR process as well as outline what their role is within the SAR process.

We will make more explicit what the purpose of a SAR is and what a SAR can and, just as importantly, what a SAR cannot do. This will help manage the expectations of all those, including families and relatives, who are involved in the SAR process.

Once the SAR reports are published, the Learning and Review Group are responsible for developing and overseeing the action plans for all statutory and non-mandatory SARs.

In 2020 / 21 work has been undertaken to implement the recommendations from two SARs, 'Ian' and 'Anne', and from the 'Mrs S' Lessons Learned review.

Further details on the SARs we have carried out can be found on pages 30 and 31 of this report.

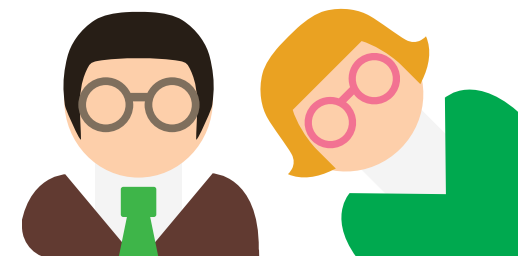
The group has also spent time reviewing previously commissioned SARs through a thematic analysis review.

As part of this review, eight recommendations were made and a number of these will require evidence and assurances from partner agencies that actions have been carried out within their organisations.

The aim of this thematic analysis is to identify themes and trends within these reviews, and to better understand which areas of practice, policy and culture either have contributed to, or have been present, during multi-agency failings in the past.

It is hoped such an analysis will identify proactive steps that can be taken by Board members to improve practice and ultimately keep adults at risk living in North Yorkshire safer.

# Performance and Quality Improvement Subgroup (PQI)



The PQI subgroup has met quarterly, meetings have been held virtually on Teams and attendance by multi-agency partners has been good.

Multi-agency partners including the health sector and the police provide information and data from their organisation which relates to safeguarding. This is included in the PQI report to the Executive Group on a quarterly basis, and highlights themes and trends to inform the work of the NYSAB and improve safeguarding practice. This report is monitored by the North Yorkshire Safeguarding Adults Board.

Following implementation of the Safeguarding Policy and Procedures, safeguarding is now more person centred: the person is asked how they would like the safeguarding concern to be responded to and they are supported to achieve their outcomes. This improvement is evidenced within the performance data and is currently exceeding the national average for this indicator.

We can also see this evidenced in the case study of 'Sarah' which can be viewed here on the NYSAB website <https://safeguardingadults.co.uk/wp-content/uploads/2021/07/Making-Safeguarding-Personal-Case-Study-Sarah.docx>

The PQI group continues to monitor and review the data by undertaking regular audits, some of which identify whether the safeguarding procedures are being followed correctly and identify areas for learning, which will improve practice.

The Quality and Market Improvement Team are responsible for all aspects of adult social care contracting on behalf of HAS. When a concern is raised about a care provider they will work with them to ascertain what support is required, and whether contract compliance action is required to ensure a safe and appropriate quality of service is maintained. Quality and Market Improvement Team information is discussed at the PQI subgroup to inform the overarching report and identify themes and trends.



# Year in Numbers

The year at a glance 2020-21



23% 2  
780 18%  
503 13

3456  
(4503)

Safeguarding concerns received during 2019/20

23%  
(18%)

The decrease in safeguarding concerns received from the previous year

1106  
(432)

Number of people's personal outcomes that were fully achieved during the safeguarding adults process

We are now asking considerably more people about outcomes,

Of the **76%** of people that did express a desired outcome:

**70%** of these people's outcomes were fully achieved;

**28%** of people said their outcomes were partially achieved; and

**2%** of people, (32) said their outcomes were not achieved.

In England in 2019/20, **67%** of people fully achieved their personal outcome.

1  
(2\*)

Number of Safeguarding Adult Reviews we have commissioned this year

1  
(1)

Number of Safeguarding Adult Reviews we have carried out this year

1590  
(1374)

Number of safeguarding enquiries concluded at Quarter 4

25%  
(23%)

Neglect & acts of omission is the highest abuse type recorded for completed enquiries in 2020/21

3518  
(2\*)

Number of Deprivation of Liberty Safeguards (DoLS) applications received

6%  
(n/a)

The recordings of domestic abuse total 6% of abuse types and an average of 22 a month

701  
(1279\*)

Independent Sector (Care Home) continues to be the source of most safeguarding concerns

(#) 2019/2020 figures

\*\*During 2020/21, there has been a 45% reduction in the number of concerns raised by residential and nursing homes. This follows the introduction of the Joint Multi-Agency Procedures, which commenced in October 2019.

## Engagement & Communication

Over the last 12 months we have continued to build on the way we communicate and engage not only with partners and professionals but with the people who use our services as well as the general public.

The Covid pandemic means we have not been able to carry out engagement quite as planned; however, we have been creative with our approach and we have developed alternative ways to keep in touch with people and ensure they have ways to feedback.

Here are some key areas of work we have carried out in Engagement and Communications

### Joint Engagement and Communications Strategy and Supplementary Guide

The NYSAB, NYSCP and NYCSP developed a joint engagement and communication strategy to outline how the Board and Partnerships want to engage and communicate with children, young people, adults families, professionals and the wider community in North Yorkshire.

We hope that by having a joint approach across the Board and Partnerships that the whole community will understand what abuse, exploitation, harm and neglect look like as well as the roles they play in keeping people safe and promoting welfare. 'Safeguarding is everybody's business'.

Over the past 12 months we have worked to develop and implement our strategy and accompanying supplementary guide which includes the tools and templates needed to carry out engagement and communication across north Yorkshire.

The Joint Strategy and Supplementary Guide can be found here: <https://safeguardingadults.co.uk/engagement-and-communication>

### Calendar of Activity

The Calendar of Activity brings together the joint Partnership Campaigns of the NYSAB, the NYSCP and the NYCSP as well as existing campaigns and awareness days which the partnerships support. It also includes individual Board and Partnership activity.

By having this calendar we can co-ordinate our messages and awareness raising campaigns to ensure consistent messaging. Throughout 2020 / 21 we have planned and delivered Safeguarding Week, Hate Crime Awareness Week and supported over 16 local and national campaigns.

The Calendar of Activity can be found here on the NYSAB website: <https://safeguardingadults.co.uk/calendar-of-activity>





Website

In June 2019 we launched our website (www.safeguardingadults.co.uk) which provides information for partners and professionals as well as the general public.

The website has been really well received and has been shared as a piece of good practice both regionally and nationally.

Over the next year we will be reviewing our website, particularly in line with our commitment to co-produce accessible resource, and we will be using the feedback from the NYSAB engagement to help inform this review of the website and our suite of keeping safe resources.

Below are the analytics from 2020/2021 in comparison to the figures for the same time period in 2019/20.

		2019 / 20**	2020 / 21	+/-
	Number of overall visits	5635	9019	+3384 (+60%)
Breakdown of website categories	About Us	425	667	+242 (+56.94%)
	Learning and Research	1,269	2,226	+957 (+75.4%)
	Keeping Safe	2,003	2,687	+684 (+34.14%)
	Working with adults	924	1,942	+1018 (+110%)
	Resources	1,014	1,497	+483 (+47%)

\*\*the NYSAB website was launched in June 2019 and so these figures are from June 2019 – 31st March 2020

Twitter

Social media continues to be a very important way of engaging and communicating with people not only to raise awareness and promote campaigns, but also share policy updates and work from the Board.

It has been a vital communication and engagement tool during the Covid pandemic.

Below are the analytics from 2020/2021 in comparison to the figures for the same time period in 2019/20.

As we have strengthened our work around campaigns and awareness raising, as well as listening to what information people would like us to share and how they would like to share it, the figures have increased significantly. We appreciate that this may also be due to the reliance of social media during the pandemic, but it is something we would like to maintain and build on going forward.

	2019/20	2020/21	+/-
Followers	748	982	+ 234 (+ 31%)
Profile visits	4,183	5,365	+1,182 (+28.25%)
Tweet Impressions*	83,780	197,992	+114,212 (+136.3%)
Tweet Engagements*	2,574	5,133	+2,559 (+99.4%)

\* Tweet Impressions are the number of times a Tweet by @NYSAB1 features on somebody’s timeline

\*\*Tweet Engagements are the number of times people open a tweet, watch media within the Tweet or follow a web link within a tweet

Keeping Safe Audio Guides

Last year we published the easy read books about ‘Keeping Safe’ which were co-produced with the North Yorkshire Learning Disability Partnership Board and Inclusion North.

These books were really well received and have been shared as an example of best practice throughout the Safeguarding Adults Board Managers Network.

Listening to feedback from the public and professionals, as well as building on our commitment to be inclusive and accessible, we have now developed the guides in easy read formats.

The links to the audio guides are here:

- **Book 1: What is abuse?** <https://safeguardingadults.co.uk/wp-content/uploads/2021/02/01-NYSAB-Keeping-Safe-from-Abuse-2.m4a>
- **Book 2: Speaking up about abuse** <https://safeguardingadults.co.uk/wp-content/uploads/2021/02/01-NYSAB-Keeping-Safe-from-Abuse.m4a>
- **Book 3: Reporting abuse** - <https://safeguardingadults.co.uk/wp-content/uploads/2021/02/01-NYSAB-Keeping-Safe-from-Abuse-1.m4a>



Future work

Following on from engagement work on the Mental Capacity Act (2005) and Deprivation of Liberty Safeguards (DoLS) which the Board carried out in 2018, we are now working as part of a task and finish group with representatives from Dementia Forward, Cloverleaf Advocacy, Carers Resource, Inclusion North and the Learning Disability Partnership Board. Our joint aim is to co-produce a suite of accessible resources about ‘My Rights The Mental Capacity Act’ and ‘My Rights – Deprivation of Liberty Safeguards’.

The aim of these resources is to empower individuals to recognise when, and how, to raise concerns, and also how the legislation can be used to empower when it is implemented correctly.

With the upcoming implementation of the Liberty Protection Safeguards (LPS) there is a lot of interest in this piece of work and once the resources have been created and developed we will be launching these and sharing across North Yorkshire.

Working with Healthwatch

We will be working with Healthwatch to capture the views of adults across North Yorkshire. One of the ways we will be doing this is linking in with their pre-existing surveys

The findings from these surveys will be analysed and used to support influence and service change.

# Partnerships and Networks

NYSAB works with a number of local Strategic Boards and Partnerships:

- North Yorkshire Safeguarding Children's Partnership
- North Yorkshire Community Safety Partnership
- North Yorkshire Health and Wellbeing Board
- North Yorkshire and York Police and Crime Commissioner

- North Yorkshire and York Systems Leadership Group
- North Yorkshire Inter-Board Network
- North Yorkshire County Council

These Boards and Partnerships all have a role in leading and managing safeguarding across North Yorkshire. NYSAB works in partnership with them to identify and implement agreed collaborative initiatives.

## Local Safeguarding Partnerships (LSPs)

Local Safeguarding Partnerships are local safeguarding meetings where partners come together. Professionals are based in Children, Adult and Community Safety services and aim to raise awareness of safeguarding in the local area and respond to local need. The groups identify learning needs, share good practice and deliver the local priorities within the Board and Partnership's business plans.

Each LSP has provided an update on the areas of work they are focusing on within their locality.

### Harrogate & Craven

The Harrogate and Craven LSP has worked to support the provision of safeguarding services across all organisations throughout the Covid-19 pandemic. We have used the forum as a platform for checking and supporting service delivery and looking for opportunities to reinforce areas in need of help.

The group have maintained three key themes through the past year and these are:

- A priority on dealing with County Lines and as a consequence we have continued to provide training and awareness opportunities across the partnership for frontline staff and volunteers. Working closely with partners across the county to embed a robust process for appropriate use of the National Referral Mechanism for exploited and trafficked individuals.
- We have provided access to range of development opportunities made available by a cross-section of organisations and making best use of new technologies brought to the forefront through the pandemic.
- We have continued to discuss and raise awareness of the difficulties we face in providing the right support to adults at risk who access services and referrals from within Harrogate and Craven yet reside in other Local Authority or police force areas.

### Scarborough, Whitby & Ryedale

The group focused on the following work areas to promote awareness raising and empowerment including reconnecting with communities in North Yorkshire during the Covid pandemic; through partnership working and accountability;

During Safeguarding Week in June 2020, the local LSP developed an awareness package for agencies and organisations on Operation Divan and Operation Disarm in relation to knife crime.

Police work has been ongoing in relation to drug related deaths within the locality and this will be fed into the ongoing County Lines work.

In October 2020 a hate crime awareness presentation was delivered by the North Yorkshire Community Safety Partnership lead as part of the hate crime campaign/

The homeless complex needs project has successfully progressed its multi-agency work. This is a multi-agency project in collaboration with NYCC, Beyond Housing, Scarborough Borough Council and Tees Esk and Wear Valleys NHS Foundation Trust to provide intensive support and housing where identified, to adults who are rough sleeping or homeless and have substance misuse, mental health and / or general health needs.

As well as the key themes and topics listed above the LSP also worked on developing their action plan throughout the year and identifying work streams and activity for the locality.

At each LSP meeting representatives from organisations gave updates on the work they were doing in the locality with regards to keeping people safe, particularly during the pandemic.

### Hambleton & Richmondshire

The group has been well attended by a wide range of partner agencies who have shared their experiences to the response and recovery of the pandemic across the past 12 months. Members have developed and disseminated an understanding in relation to local activity of safeguarding concerns and services providing responses within the

community to victims of exploitation, financial and domestic abuse. Agencies have engaged in a work plan which focused on the delivery of Local Safeguarding week concentrating on promoting the Boards workshops and going back to basics of Safeguarding for the community.

### Selby

The group has focused on the following work areas to promote awareness raising and empowerment, including reconnecting with communities in North Yorkshire during the COVID Pandemic, through partnership working and accountability:

- Presentations were delivered to the group on a range of topics including:
  - o The new Multi-Agency Safeguarding Adults Policy and Procedures - all organisations have now confirmed they have embedded the new safeguarding policy and procedures within their organisations. This ensures they are in line with best practice – now and in the future.
  - o Signs of Safety Approach – a presentation was delivered by a colleague from North Yorkshire County Council's Children and Young People's Services (CYPS). The group found this presentation useful and this approach was seen to be helpful regarding transferable skills.
  - o Update on new procedures regarding Persons in a Position of Trust working with Adults with Care and Support Needs. The group discussed the connectivity between this and the Children's Local Authority Designated Officer.
- At each meeting each partner organization provided a summary of the work they have undertaken in respect of their response during the COVID Pandemic and their planning for recovery. During the pandemic partner organisations have adapted their approach including working from home with some working in the office to ensure people have been supported via telephone and video, so it has been very much "business as usual."

# Safeguarding Week 2020

The North Yorkshire and City of York Safeguarding Adults Boards, Safeguarding Children Partnerships and Community Safety Partnerships worked together to deliver a virtual awareness raising campaign on how to report abuse of children, young people and adults. Safeguarding Week has previously involved local events for professionals and members of the public, however this is the first year that a purely virtual campaign has taken place.

During the week (22nd - 26th June) social media was used to promote awareness to the public and professionals, to remind people that **"Safeguarding is everybody's business"**. Further, that concerns about abuse or neglect should continue to be reported to the respective Local Authority as normal during the pandemic.

A coordinated social media campaign made use of, and sign posted to, existing resources. As part of this **#TellUsYourConcerns** was used during Safeguarding Week 2020.

The weekly schedule across North Yorkshire and York had a consistent theme, "How to report a concern" on social media each day, and also focused on a different safeguarding area as follows:

- **Domestic Abuse**
- **Keeping Safe Online**
- **Radicalisation, Extremism and 'Prevent'**
  - o Radicalisation refers to the process by which a person comes to support terrorism and forms of extremism leading to terrorism.
  - o Extremism is vocal or active opposition to fundamental British values, including democracy, the rule of law, individual liberty and mutual respect and tolerance of different faiths and beliefs. We also include in our definition of extremism calls for the death of members of our armed forces, whether in this country or overseas.
  - o Prevent is one of the four elements of CONTEST, the government's counter-terrorism strategy. It aims to stop people who are vulnerable to extremism and radicalisation from becoming terrorists or supporting terrorism. The Home Office works with local authorities and a wide range of government departments and community organisations to deliver the Prevent Strategy.



We have more information in our One Minute Guide to County Lines and Cuckooing which is available here: <https://safeguardingadults.co.uk/prevent-extremism-and-radicalisation>

- **County Lines / 'Cuckooing'**
  - o County Lines is the term used to describe the approach taken by gangs originating from large urban areas who travel to locations such as county or coastal towns to sell Class A drugs. Gangs typically recruit children and young people through deception, intimidation such as threats, violence and grooming.
  - o Cuckooing usually involves identifying vulnerable people who may use drugs and alcohol, or people who may be vulnerable due to mental or physical impairments, single mothers and female sex-workers. The dealers then coerce, and sometimes threaten the vulnerable person into allowing them to take control of their home so they can use it to store and sell drugs.

We have more information in our One Minute Guide to County Lines and Cuckooing which is available here: <https://safeguardingadults.co.uk/county-lines-cross-border-gangs-cuckooing/>

- **Mental Health and Wellbeing**

The week was a great success with many people getting involved with the online activity and sharing the key messages and resources throughout the week.

We saw an increase in the number of people engaging with the NYSAB social media account and accessing resources via the website. This resulted in the following:

- **15,242 Twitter impressions** which is the number of people who have seen the post. The Tweet with the highest number of impressions had 8,196 views, about the One Minute Guide for Prevent – Extremism and Radicalisation. Feedback from professionals was they found the guide very helpful.
- **336 Twitter engagements** which is when a person has engaged in a post, for example they have clicked on to follow a link, find out more information about the NYSAB or viewed a linked file.
- **37 new Twitter followers** bringing the total followers to 771 (by June 2020).

Feedback received from the campaign will also inform the information the Board produces going forwards as well as how we share this.

This was the first campaign planned using the Joint Engagement and Communication Strategy and it proved to be very effective and helped to create a collaborative awareness raising campaign.





# Partner Statements

## North Yorkshire County Council – Health and Adult Services

### Awareness and Empowerment

- Worked in partnership to plan and deliver a safeguarding workshop on the Mental Capacity Act which included, Raising Safeguarding concerns and reporting Risk Notification Returns to the Quality and Market Improvement Team.
- The HAS Safeguarding Team have:
  - o facilitated regular “Peer Support Sessions” for HAS Enquiry Officers and Safeguarding Coordinators
  - o produced a regular Safeguarding Newsletter which promotes safeguarding resources to HAS staff
  - o delivered awareness raising sessions about safeguarding at Higher Education establishments
- Attended multi-agency forums and Partnership Boards

### Prevention

- The Post Implementation Review (PIR) for the Joint Multi-Agency Safeguarding Adults Policy and Procedures is underway. The focus is making sure that the Safeguarding Policy and Procedures have been implemented successfully within HAS.
- The safeguarding team and training and learning team, have promoted the updated safeguarding competencies for level 3 enquiry officers and level 4 safeguarding coordinators within HAS.
- The use of easy read guides and safeguarding resources has been promoted.
- Quality and market support meetings have been implemented to review and respond to quality issues identified internally or by partner agencies and assess the level of support/intervention required. It is a collaborative approach to support the care market, aims to promote a pathway to outstanding, improving outcomes, and lived experiences to those accessing services

### Protection and Proportionality

- HAS is undertaking specific work regarding anti-racist practice, including the development of an “anti-racist ‘pledge’ and anti-harassment policy”.
- The safeguarding DASHBOARD has been reviewed and updated to support the Safeguarding Adults Collection (SAC) of data to the Department of Health and Social Care (DHSC) and enables data to be regularly reviewed by HAS and the NYSAB partners to assure quality, improve safety and quality in adult safeguarding.
- The Domestic Abuse Stalking and Harassment Risk Assessment (DASH) has been built in to the electronic recording system, for safeguarding practitioners to use within HAS when responding to concerns about domestic abuse.

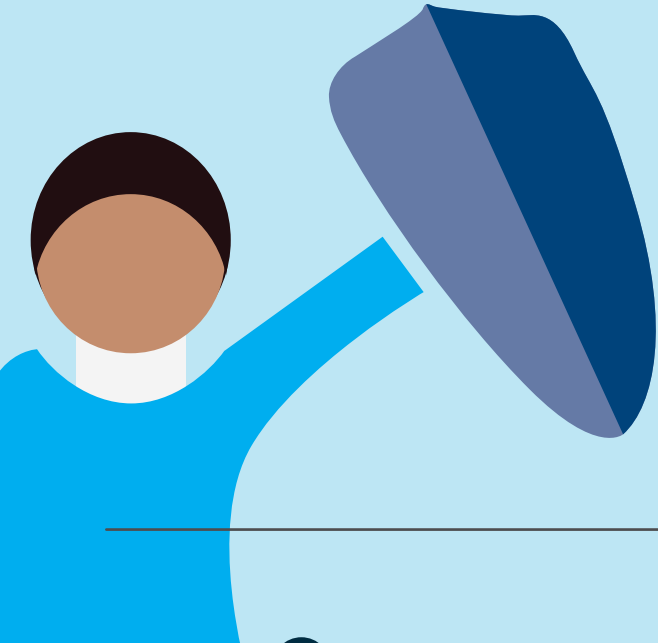
### Partnership Effectiveness and Accountability

- The following sessions are offered to support HAS practitioners:
  - o Safeguarding peer support – an opportunity for safeguarding coordinators and enquiry officers to access the skills, knowledge and confidence to develop and deliver good practice when working with adults at risk.
  - o Practice peer meeting – an opportunity for practitioners to work through practice queries, share knowledge / ideas / experience and learning and open up opportunities for shadowing and more robust joint-working.
  - o Practice support meeting - a valuable resource available to practitioners and their managers that provides a forum to discuss complex practice situations. The meeting attendees work in partnership to find a way forward to support individuals achieve their outcomes and manage identified risks.
- An internal safeguarding tactical advisory group has been implemented and meets regularly. This provides a forum for discussing and action planning issues, which are impacting on safeguarding practice.
- Regular safeguarding updates, which are relevant to safeguarding practice, are provided at the care and support managers forums.

### ‘Keeping everyone safe’ in 2020/21

#### During the pandemic:

- HAS restructured the way that operational teams responded, including the introduction of command centres, response and delivery teams as well as introducing new operational hours and new assessment tools.
- Home working provided the opportunity to roll out at scale technological solutions to support virtual teams, virtual assessments using apps such as WhatsApp and improved technology in care settings.
- The Quality Market Improvement Team maintained good communication and offered support to provider services.
- Our Quality Improvement Team (QIT) provided additional support and resources to providers, depending on what was required.
- Intelligence and risks regards care provider services have been shared at silver and gold meetings. These are multi-agency meetings where solutions can be found, decisions on guidance made and additional actions are agreed.





# North Yorkshire & York NHS Clinical Commissioning Groups (CCGs)

## Awareness and Empowerment

- GP Safeguarding leads network meetings were changed to online during the pandemic but as in previous reports have continued to be well attended. The meetings offer additional specialist training and support for the dedicated safeguarding practice leads in each GP surgery.
- The Health Partnership Group continued as a virtual meeting providing valued peer support and an effective mechanism for disseminating and discussing local and national safeguarding issues and sharing best practice.
- All training packages were adapted to be delivered virtually during the pandemic and the CCG safeguarding team have delivered training to 465 Primary Care staff on domestic abuse, stalking and harassment; learning from local adult case reviews; working with adults who self-neglect and an overview of Liberty Protection Safeguards.
- Level 3 safeguarding adults initial training has been delivered to GP Practice and CCG staff with 41 attendees across two events. Additional training has been delivered to administrative staff on safeguarding awareness and management of safeguarding information in GP practice.
- The safeguarding team contributed to arrangements for safeguarding week in June 2020 with the new format of a narrated presentation on self-neglect and the newly published guidance was disseminated to all practice safeguarding leads to share with practice colleagues.

## Prevention

Providing the right safeguarding specialist support for GP practices and provider organisations has been key during the pandemic. Measures taken by the CCG safeguarding team have included:

- Extended hours of work by team members to provide safeguarding advice before and after usual surgery hours.
- Guidance developed for 'safeguarding at a distance' to support GPs undertaking virtual consultations to spot potential signs of abuse
- Dissemination of the Self Neglect Practice Guidance.
- Development and dissemination of new safeguarding bulletins for provider organisations as a summary document of safeguarding information to share in a timely way; cut down on email communication; and support practitioners who were redeployed away from their specialist roles into frontline clinical work.
- Regular provision of a safeguarding summary bulletin for primary care staff.
- Audit of the MAPPA process evidenced robust information sharing with Primary Care.
- Primary Care engagement in the MARAC process now well-embedded.
- Working together with multi-agency partners to share concerns regarding the impact of the pandemic on care providers and people with a high level of need and additional vulnerabilities – reducing the risk of abuse, neglect and self-neglect



## Protection and Proportionality

- The CCG and Primary Care safeguarding team support enquiry work where complex health issues are a predominant feature and where a multi-agency response to high-risk cases is required.
- The team have been involved in almost 100 section 42 enquiries in 2020/21 with the locality teams across the North Yorkshire region (a small decrease from 110 cases in 2019/20). The main categories of abuse in cases with CCG involvement have been neglect or self-neglect and physical abuse.
- The safeguarding team have continued to offer support and advice to practitioners in respect of actions required for potential safeguarding concerns. The number of calls for advice and support remained at the same level as the previous year.
- The safeguarding team work closely with health colleagues providing safeguarding advice and risk-management support where required for patients in receipt of Continuing Healthcare Funding.
- The number of quality assurance visits reduced significantly in 2020 as the team worked remotely from March and care homes adhered to the national lockdown and closed their doors to all visitors in all but exceptional circumstances. The team worked with partners to complete virtual assessments where concerns were identified.
- A joint adults and children CCG policy for Managing Allegations Against Staff was approved in October 2020; providing a clear process alongside the NY SAB Persons in Positions of Trust (PiPoT) procedures.

## Partnership Effectiveness and Accountability

- From April 2020 North Yorkshire CCG became the employing organisation for the safeguarding team for both North Yorkshire and Vale of York CCG. An internal audit was completed in October 2020 which demonstrated significant assurance on the effectiveness of safeguarding arrangements in place including compliance with statutory requirements.
- A new Specialist Nurse for Primary Care was recruited in May 2020 and a new Safeguarding Officer in October 2020. Both recruits have added significant experience to the team from previous roles.
- Local delivery of the Learning Disability Mortality Review Programme (LeDeR) was maintained by the safeguarding team until transfer of the programme to the CCG Director of Transformation. The safeguarding team continue to provide administrative and project support and the Designated Professionals are active members in the steering group.
- The NY CCG Chief Nurse is the executive member of the NY SAB and the CCG Designated Professionals and Primary Care Team have continued active roles in NY SAB and all its subgroups.
- Safeguarding across the developing Humber, Coast and Vale Integrated Care System has been strengthened by regular meetings of the Safeguarding Designated Professionals with the development of shared actions and outcomes.
- The Designated Professionals became full members of the Safeguarding Adults national network (SANN). Led by the NHS England national team the network has provided a weekly forum to discuss and action safeguarding issues of national and local significance.

# Bradford District and Craven NHS Clinical Commissioning Group (CCG)

## Awareness and Empowerment

- In response to the Covid 19 pandemic and remote working Safeguarding training has been developed and delivered by the safeguarding team to CCG staff via the virtual platform of Zoom.
- Our Named Doctor and Specialist Practitioner: Safeguarding Adults have developed and delivered a wide range of training, including the role of carers in safeguarding to GPs, Practice Safeguarding leads and GP Registrars via the virtual platform of Zoom.
- Information has been made available electronically to Primary Care staff, including guidance on virtual examination and Domestic Abuse.
- Development of and system wide sharing of a Mental Capacity Act and vaccination aide-memoire to support practice.

## Prevention

- In light of Covid 19 the CCG safeguarding team have supported the assessment of the impact of numerous service changes in relation to safeguarding adults.
- We have supported the safeguarding aspect of the new carer's health check template in SystmOne for Primary Care.
- We have worked with partners to identify and respond to safeguarding issues as they emerged during the pandemic, using regular meetings and strengthening relationships.
- Regular newsletters have been circulated to Primary Care including information about Prevent and Domestic Abuse.
- We contributed to the sharing of domestic abuse information at Covid testing sites and vaccination centres.
- To support our managers we have delivered training to support them to routinely enquire about domestic abuse in return to work interviews



## Protection and Proportionality

- Our Personalised Commissioning Team has made timely applications for community deprivation of liberty cases to the Court of Protection to ensure actions and restrictions are proportionate and necessary.
- Development and delivery of Mental Capacity Act Masterclasses to provide staff across the health economy with a greater understanding of case law and application in practice.
- We have developed a strategy to support the implementation of the Liberty Protection Safeguards in collaboration with partners across the health economy.
- We have provided expert advice and support to primary care staff.

## Partnership Effectiveness and Accountability

- The CCG successfully transitioned from 3 CCGs to 1 CCG in April 2020.
- We have successfully recruited into the posts of; the Designated Nurse: Safeguarding Adults following the retirement of the previous post-holder; the newly created Specialist Practitioner: Safeguarding Adults post and; the MCA/Liberty Protection Safeguards Lead.
- Our Health Safeguarding Adults group has provided leadership and mutual support to safeguarding adults leads across the health system.
- In light of the Covid 19 pandemic we have adapted our safeguarding and quality systems to monitor our providers and how well they are doing in protecting people from abuse and neglect.

## 'Keeping everyone safe' in 2020/21

We have been responsive and worked in partnership across the health and social care system to support partners and seek assurance that safeguarding adults remained a priority during the pandemic.

We have strengthened relationships within the CCG and multi-agency partnerships to ensure safeguarding adults is at the centre of decision-making, including service changes as a result of the pandemic.



# North Yorkshire Police

## Awareness and Empowerment

### Key Achievements

2020/21 has been a unique year and though the difficulties of COVID are obvious, North Yorkshire Police have continued to promote internally and externally the importance of Safeguarding particularly focussing on reports of vulnerability that include:

- Domestic Abuse
- Concern for Safety and Mental Health related incidents.
- Exploitation (Adults and Children)

North Yorkshire Police have continued to work with our partners in a 'Business as usual' approach wherever possible adapting to new ways of working via advanced technology.

## Prevention

### Key Achievements

North Yorkshire Police recognise those victims suffering domestic abuse, exploitation and mental health episodes are particularly vulnerable and isolated under normal circumstances, the pandemic and the restrictions may have exacerbated this. North Yorkshire Police have trained an additional 68 PCSO's to carry out follow up domestic abuse visits, domestic violence disclosure training and victim safety planning during 2020 with a further 28 PCSO's being trained in 2021.

In the last year further investment has been secured enabling North Yorkshire Police to develop our safeguarding response which has included:

- An increase of Domestic Abuse Officers.
- The creation of a dedicated stalking intervention Team.
- The further recruitment of Domestic Abuse

Court Presentation officers dedicated to the applications of protective civil orders, and those introduced by the newly enacted Domestic Abuse Bill.

- Further development of Missing from Home (Adults) promoting the Herbert Protocol and the Ellam Protocol.
- Daily reviews are being completed on all crimes committed against victims aged 65 years and over to ensure all safeguarding and vulnerabilities are addressed.
- Additional resources to Develop an Adult Multi Agency Safeguarding Hub
- A dedicated Problem Solving Team based within the Partnership Hub.

North Yorkshire Police have invested in the Domestic Abuse Matters training provided by Safe lives. The training will be rolled out throughout 2021. The training is a comprehensive package starting with a three day train the trainer course to enable resilience across the force to embed within our training offer, First responder training follows, with operational staff and a Domestic Abuse champions course, concluding with a Force Health check.

## Protection and Proportionality

### Key Achievements

Communication to police officers and staff had been undertaken in Q4 in readiness for the new victim code which was implemented on the 1st April 2021. The new Victim Code outlines 12 overarching rights for victims that are easy to understand. The code outlines the minimum levels of support that victims of crime should expect to receive from the police and other criminal justice agencies and to make sure they have access to the best possible support when they need it. The rights are applicable to victims of all criminal offences.

The Code also includes enhanced rights to provide additional support for victims who are assessed as:

- vulnerable or intimidated
- persistently targeted
- victims of the most serious crime (including bereaved close relatives)

The Code includes the right to be offered support when a victim reports a crime to the police. This includes an initial victim needs assessment which helps identify those who are entitled to receive enhanced rights.

## Partnership Effectiveness and Accountability

### Key Achievements

- MARAC (Multi Agency Risk Assessment Conferences) North Yorkshire Police records show that MARAC meetings have increased annually and consequently there is a growing requirement for the support and commitment for this important part of safeguarding from our partners.
- North Yorkshire Police are actively engaged and committed to the North Yorkshire Safeguarding Adults Board.
- North Yorkshire Police are actively engaged in the coordination and participation of Safeguarding Week which has become an expected and embedded feature in the North Yorkshire Police Calendar.
- We have worked with partners to deliver webinars and workshops on Topics such as Fraud, Domestic Abuse, and County Lines

## 'Keeping everyone safe' in 2020/21

North Yorkshire Police recognise the last year as an extremely difficult one for so many people. The unprecedented Public Health emergency has really highlighted the positivity and enthusiasm from our staff, Partner agencies and the Public, in helping keep our most vulnerable members of the community safe throughout this pandemic.

During the COVID pandemic North Yorkshire Police have:

- Held fortnightly Domestic Abuse tactical meetings to identify and monitor spikes/ trends early and being able to plan and respond accordingly with Partners. These meetings were initially held weekly during the first lockdown.
- Participated in the Multi Agency COVID Response meetings.
- Joined the Employers' Initiative on Domestic Abuse, along with other high-profile organisations, the aim to share best practice and improve our support to employees.
- Increased our engagement of and with the public on social media platforms to enhance visibility and reassurance during COVID restrictions.
- Introduced a variety of ways using technology to ensure staff can discuss their cases, seek advice and supervision, in order to offer the same service to the Public.
- Submitted 3120 concerns for Adults in 2020.
- The Office of the Police, Fire and Crime Commissioner has reported a 30% increase in compliments about the police during the COVID pandemic.

You can find all non-statutory partner statements on our website <https://safeguardingadults.co.uk/partner-statement>





# Safeguarding Adults Reviews and Lessons Learned Review

Section 44 of the Care Act 2014 states that we must carry out a Safeguarding Adults Review if certain criteria are met.

The aim is not to apportion blame to any individual or organisation but to learn lessons where an adult, in vulnerable circumstances, had died or been seriously injured, and abuse or neglect is suspected. In the past 12 months the North Yorkshire Safeguarding Adults Board have carried out one SAR - Anne. We have also worked in partnership with another SAB to complete a SAR for a person who briefly lived in North Yorkshire. The Learning and Research group has also conducted a number of practice reviews where the statutory criteria was not met.

In addition, we have recently commissioned a further SAR. We will complete this within the coming months and the findings will be published in the NYSAB 2021/22 Annual Report.

## SAR 'Anne'

As agreed with her family, the pseudonym of 'Anne' was used for this review. The review looked at the actions of the agencies involved in supporting Anne, a woman who died in 2018 due to accidental drug toxicity.

It looked specifically at the multi-agency response to Anne's needs in the period prior to her death. We thank Anne's family for their help with this review during this difficult time for them.

The report sets out 10 recommendations to the individual agencies involved and the NYSAB as a whole, all of which are accepted by the NYSAB in full and work is now underway to implement these recommendations.

In response to recommendations one and five within the report, the NYSAB has commissioned two external independent reviews.

The first of these reviews will look at the supported housing accommodation arrangements to identify risks and opportunities, with a view to influence changes to policy at regional and national level.

The second review will be undertaken to look at the Drug and Alcohol Recovery Service discharge process where existing concerns of substance misuse are present in service users.

The SAB will publish a delivery report in September 2021 which sets out what agencies have done in response to the recommendations made.

The full 'Anne' SAR report, including all recommendations, can be found here on the NYSAB website: <https://safeguardingadults.co.uk/learning-research/sar-anne/>

## SAR 'Ian' and Mrs S Action Plans

During 2020/21, the NYSAB has overseen the completion of two action plans, one in relation to the Lessons Learned Review for Mrs S, and one for the Ian SAR.

Delivery reports for both were published on the NYSAB website in September 2020.

They explain the changes made in response to these reviews, including the introduction of new multi-agency policies for complaint management, improving Mental Capacity Act 2005 practice, and sharing information with other agencies.

A 7-minute briefing for SAR 'Ian' can be found in Appendix B and also on the NYSAB website along with the SAR report at <https://safeguardingadults.co.uk/learning-research/sar-ian>

The lessons learned review for Mrs S along with the Independent Health Review carried out by the CCG can be found here on our website <https://safeguardingadults.co.uk/llr-mrs-s>

All of the NYSAB's completed reviews can be read in full on our website: <https://safeguardingadults.co.uk/learning-research/nysab-learning/>





# Strategic Priorities 2021-23

## The Board agreed the four following priorities for 2021-23

As it is proposed that the priorities listed 2021 – 23; there will be further opportunities to review these priorities at the Board's development day later in the year.

i. **Reconnect with communities in North Yorkshire to raise awareness and develop strategies to address and reduce risk of abuse**

This priority's focus remains on safeguarding being everyone's business and engagement and communication. As the focus moves away from responding to the pandemic, the Board will also look to refresh the connection with Healthwatch, as well as build on the continuous work with the LD community, specifically looking at areas the SAB has successfully adopted and to encourage these as best practice for all partners.

There is also a commitment to making the Local Safeguarding Partnerships (LSPs) more effective and to have a stronger connection to the Board.

ii. **Ensure multi agency safeguarding policies and procedures are line with best practice and meet the needs of older people and younger vulnerable people – now and in the future**

This builds on the current priority regarding policies and procedures. There is the possibility for a potential revisit for multi-agency working regarding adult safeguarding

Preparation for Liberty Protection Safeguards (LPS) will be part of this priority.

**For accessible versions of our strategic priorities visit <https://safeguardingadults.co.uk/strategic-priorities>**

iii. **Ensure a stronger partnership approach and accountability for the prevention of abuse**

This priority focuses on the effectiveness of partners' joint working; relationships with the North Yorkshire Children Safeguarding Partnership (NYSCP) and Community Safety Partnership (CSP); relevant connections with other areas that impact on adults for example modern slavery and suicide prevention, particularly younger people and those in transition".

The Board needs to look at better data: the sharing of intelligence, qualitative data as well as performance management.

A specific area of focus for the Board and its sub-groups will be to seek assurance about effectiveness of addiction services to adults at risk, as this has been a recurring theme from SARs and to review the recommendation around housing from the 'Anne' SAR.

iv. **Ensure NYSAB is able to effectively adapt and respond to wider contextual changes affecting adult safeguarding**

This includes LPS; learning from SARs; Mental Health Act (MHA) review implications; LeDeR implications; seeking opportunities to learn from others about best practice through peer review / benchmarking; as well as being connected to Integrated Care Systems; and being fully appraised and engaged in Local Government Reorganisation (LGR).

# Attendance Record

Organisation	Designation	June 2019	September 2019	December 2019	March 2020
North Yorkshire County Council	Independent Chair	Y	Y	Y	Y
	Corporate Director of Health and Adult Services	Y	Y	Y	N
	Assistant Director	Y	Y	Y	Y
	Director of Public Health	N	N	Y	N
	Public Health Consultant	Y	Y	-	Y
North Yorkshire Police	Deputy Chief Constable / Assistant Chief Constable	Y	Y	Y	Y
NHS Clinical Commissioning Groups (CCGs)	Designated Professional for Safeguarding	Y	Y	Y	Y
Bradford District and Craven CCG	Designated Professional for Safeguarding	Y	Y	Y	Y
Harrogate District Foundation Trust (on behalf of Acute and Community Trusts)		Y	Y	N	Y
Tees, Esk and Wear Valleys NHS FT		Y	Y	Y	Y
Richmondshire District Council (on behalf of Borough/District Councils)		Y	Y	Y	Y
Community First Yorkshire		Y	Y	Y	N
Healthwatch		N	N	Y	N
Independent Care Group		N	Y	Y	Y
Legal Advisor to the Board		Y	N	Y	N
Probation Service		Y	Y	Y	N
Trading Standards		N	N	N	N
North Yorkshire Fire and Rescue Service		Y	Y	Y	Y

# Financial Record

## Funding

The NYSAB Budget is made up of contributions from the three statutory partners – the County Council, North Yorkshire Police and NHS. As well as direct funding, the NYSAB is also provided with services ‘in kind’ by a number of agencies.

Income 2019/20	
North Yorkshire County Council	£146,587
North Yorkshire Police	£20,000
North Yorkshire CCGs	£20,000
Total	£186,587

Expenditure 2019/20	
Independent Chair	£9,600.00
Staffing	£168,543
Supplies and Services	£4,548
Safeguarding Adults Review	£1,944.00
Total	£186,587

# Appendix A: SAR ‘Ian’ 7-minute briefing

**North Yorkshire  
Safeguarding Adults Board**

### 7 Minute Briefing Safeguarding Adult Review: Ian

**Section 1 What is a Safeguarding Adults Review (SAR)?**  
A SAR is a multi-agency review process, which seeks to determine what relevant agencies and individuals involved could have done to have prevented harm or death from taking place. It will establish whether there are lessons to be learned and promote effective learning and improvement to prevent future deaths or serious harm happening again. A SAR should reflect the six safeguarding principles of **empowerment, prevention, proportionality, protection, partnership and accountability**

**Section 2 Background**  
Ian was a 47 year old gentleman who had a diagnosis of Schizophrenia (unspecified) and a history of involvement with mental health services dating back to 1995. In June 2014, Ian became homeless and deterioration in his mental health, with an associated risk of suicidal thoughts, led to an admission into hospital. He was receiving care and support under the 'Care Programme Approach' (CPA) and went on to live in supported accommodation under the provisions of Section 117 aftercare. On 12th April 2017, Ian was found dead at his flat in Harrogate after taking his own life.

**Section 3 Key Learning: Mental Health**  
There were early warning indicators during Ian's contact with services that would suggest a possible deterioration in his mental health. It is important that all agencies recognise that deterioration in an individual's mental health does not always manifest itself with a crisis episode. All agencies are to ensure that mental health training is of sufficient quality and available to all staff and included within their contractual requirements

**Section 4 Key Learning: Information Sharing**  
It is acknowledged within the SAR that information was shared and stored differently across the agencies involved in supporting Ian. The sharing of, and access to, this information is vital when working collaboratively to provide the appropriate level of support to individuals in their services. Guidance for effective information sharing is to be followed and can be found within the Safeguarding Adults **Joint Multi-Agency Policy and Procedures** and **Information Sharing Agreement**.  
  
The Joint Multi-Agency Policy and Procedures can be found [here](#)

**Section 5 Key Learning: Care Programme Approach**  
Ian was receiving care and support under CPA. There were elements of the CPA which were not followed in line with current guidance.  
  
Organisations with responsibility for CPA planning are to have effective systems in place to scrutinise the review processes for identification and management of risk.

**Section 6 Key Learning: Professional Challenge**  
There is clear evidence throughout the review of a lack of professional challenge. There are many instances where records indicate staff from different organisations did not agree on a course of action but these concerns are not raised or escalated. All agencies are to be aware of when and how to use professional challenge / curiosity and have effective guidance and training to support.

**Section 7 Key learning: Support planning**  
There was a lack of an effective support plan in place for Ian whilst he was residing within supported living accommodation. This would have supported the CPA process and given clear guidance to staff who were supporting Ian. Comprehensive support plans are to be in place for all vulnerable people who may take up residence at premises owned or managed by the Council.



**For the full SAR report  
click here**

# North Yorkshire Safeguarding Adults Board

Annual Report 2020/2021

## Contact details:

North Yorkshire Safeguarding Adults Board  
North Yorkshire County Council  
County Hall  
Northallerton  
DL7 8DD

Email: [nysab@northyorks.gov.uk](mailto:nysab@northyorks.gov.uk)

Web: [www.safeguardingadults.co.uk](http://www.safeguardingadults.co.uk)



*Making safeguarding everybody's  
business in North Yorkshire*

### Contact us

**North Yorkshire County Council, County Hall, Northallerton, North Yorkshire, DL7 8AD**

Our Customer Service Centre is open Monday to Friday 8.00am - 5.30pm

(closed weekends and bank holidays). Tel: **01609 780 780**

email: [customer.services@northyorks.gov.uk](mailto:customer.services@northyorks.gov.uk) web: [www.northyorks.gov.uk](http://www.northyorks.gov.uk)

If you would like this information in another language or format please ask us.

Tel: **01609 780 780** email: [customer.services@northyorks.gov.uk](mailto:customer.services@northyorks.gov.uk)